

WANHUIDA
Patent
Service &
Practice

2023

Expertise Makes It Possible



### CONTENT

- 6 Overview of Wanhuida IP
- 10 Wanhuida Patent Service
- 16 Patent Prosecution Practice
- 34 Resolution of Patent & Technology Related Disputes





## CHAPTER 1

# Firm's Overview

### Wanhuida Intellectual Property

Wanhuida Intellectual Property is a leading IP service provider in China. Established since 1999, it now has offices located in all major IP hubs in China — Beijing, Shanghai, Guangzhou, Shenzhen, Chongqing, Tianjin, Ningbo, Suzhou, Hangzhou, Hong Kong.

We have a stellar IP team providing full services ranging from prosecution, litigation, to counseling. Combining our legal expertise with practical approach, we have won numerous landmark IP cases for our clients.

500+ Practitioners and supporting staff	150+ Trademrk Practitioners
120+	120+
Patent	Litigation
Practitioners	Lawyers

40+ IP cases reported in the Supreme People's Court ("SPC") Gazette or selected by SPC for its annual 10 or 50 exemplary cases 60+ Transactions or cases selected by industry associations or professional legal medias as "Deals of the Year" or "Exemplary Cases of the Year."

70+ Cases honored as representing "Best Practices" by the CNIPA, local administrations for market regulation, IPOs or courts

### **Endorsement**

#### The Legal 500

Tier 1 PRC firm in both "Intellectual Property Contentious" and "Intellectual Property Non-contentious", 2012 – 2023



### Managing Intellectual Property

Tier 1 PRC firm (domestic) in both "Trademark Prosecution" and "Trademark Contentious".

○ Managing IP

2011 - 2023

### **Chambers & Partners**

Intellectual Property: Litigation & Non-litigation (PRC Firms) in China, Band 2, 2019 – 2023

Chambers AND PARTNERS

#### asialaw

Outstanding firm (top tier) in intellectual property in China, 2016 – 2023



#### World Trademark Review

Gold-band PRC firm (domestic) for both "Prosecution and Strategy" and "Enforcement and Litigation", 2011 – 2023



### Intellectual Asset Management

Silver-band PRC firm (domestic) for patent litigation and prosecution, 2020 – 2023



### **Asian Legal Business**

China Domestic Trademarks / Copyright Law Firm Tier 1, Patent Law Firm Tier 2, 2021 – 2023

ASIAN LEGAL BUSINESS

### China Business Law Journal

China Business Law Awards Winner in the category of intellectual property (Trademark & Patent), 2023

CHINA BUSINESS 商 LAW JOURNAL 法



## CHAPTER 2

Wanhuida Patent Service

### Patent Filing & Prosecution

- Search, portfolio, strategy, management
- Draft applications in Chinese and English
- Provide advice/suggestion
- Draft response to office actions
- Translation
- Reexamination
- Reply inquiry, monitor cases
- Consulting

### Technical Fields & Languages

- Chemical materials, daily appliances
- Pharma, Biotech, foods
- Mechanical, Medical devices, metallurgy
- Electricelectronic, physics, hard/soft ware
- Telecommunication, Al. data
- Design patent
- Chinese, English, Germany, French
  - Japanese, Korean

### Patent Contentious Work

- Patent invalidation
- Administrative itigation
- Civil litigation of infringement
- Administrative enforcement
- Trade secrets/knowhow litigation
- Licensing disputes
- Customs recordal and actions
- Evidence collection, C&D letters

### Legal Opinions

- Freedom-to-Operate (FTO)
- Patent validity analysis
- Infringement analysis
- Submit third party's observations
- Assessment of inventive steps
- Licensing, patent related contract
- Other legal issues

### People

120+ professionals, including 70+ patent attorneys and 30+ lawyers

### Case Load

5300+ prosecution cases and 200+ contentious cases per year



### Great Team Work - Best Serving Our Clients

- Extensive Experiences
- Optimized Processes
- Resources Deployment
- Striving For Results

### Clients

Including the world's leading companies (e.g. Fortune 500 companies) and SMEs, covering around 90 jurisdictions, from a cross sector of industries - chemical, biotechnology, pharmaceutical, mechanical, medical devices, electronics, software, telecommunication, internet, Al, energy, materials, equipment and manufacturing, etc.







## CHAPTER 3

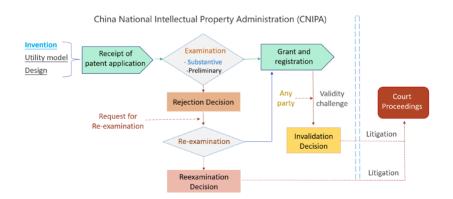
Patent
Prosecution
Practice

# 1. General Information of China Patents

- Technological innovations can be protected by patents for inventions and/or patents for utility models under China's Patent Law, while designs are also within the scope of patent protection. The duration of a patent for invention, utility model and design shall be 20, 10 and 15 years respectively, counting from its filing date.
- For a patent to be valid and protected under the Patent Law, it must fall within the statutory definition of eligible subject matter. Scientific discoveries, rules and methods for mental activities. methods for the diagnosis or for the treatment of diseases, animal and plant varieties, nuclear transformation method and substances obtained thereof are excluded from patent protection. No patent right can be granted for innovations contrary to the laws, social morality or detrimental to public interest, or with bad faith. Abuse of patent rights to damage the public interest or the legitimate rights and interests of others should be prohibited.

 A patent for invention should be subjected to substantive examination, while a utility model and a design only pass preliminary examination before granting a patent. The average examination period of a patent for invention is around 16-18 months from the date of substantive examination, while that of utility model and design is around 6-12 months and around 3-10 months respectively, from the date of filing the patent application.

### CN patent examination and granting process



# 2. Our Practice of China Patents

- We represent international and domestic clients and work closely with them to file their patent applications before China National Intellectual Property Administration (CNIPA). Our extensive experiences and good strategies during patent prosecution make the procedure smooth and efficient, assisting our clients to reach satisfactory granting rate with proper scope of coverage of their patents and portfolio. Especially in the event of adverse results through substantive examination for invention patents, we effectively use the reexamination proceeding by deep analysis of technical solutions and evidence to seek remedies for the interest of our clients. Our successful rate of reexamination cases before the CNIPA is much high above the average.
- The following patent prosecution cases are selected as examples about how to obviate the objections or rejections against inventiveness for obtaining satisfied scope of granted patents for our clients.

## CASE 1: Granted broader protection scope than that in the counterpart patent

This application relates to structural members used in the areas of commercial and residential construction. The inventive conception of present application involves of attaching the first and second tensioned continuous wire member at both ends of the channel members, so that customized adjustment of length between various nominal lengths is allowable and the reinforcement plates used in the stud of prior art is omitted.

- Objections in office action
   The set of claims was rejected in 1st Office Action as lack of novelty or inventiveness in view of one prior art document, and the Examiner insisted his rejection in the 2nd Office Action.
- Arguments and Claim Amendment
  Before filing a response to OA2, we initiated a telephone
  communication with the Examiner and carefully explained the
  technical solutions of the present application and the prior art,
  and highlighted the technical difference there between as well
  as the notable technical effect achieved thereby. To facilitate the
  granting procedure, an adaptive and less limitative amendment
  was introduced. The examiner finally agreed with us and granted
  the application with a protection scope broader than that in the
  counterpart patent.

Our strong arguments and the effective discussion with the Examiner help facilitating the granting procedure as well as obtaining a satisfied claim scope.

### CASE 2: Using Appropriate Approach in Explaining the Relevant Technology

This application relates to a method for the manufacture of a sole for a shoe, from particles of expanded thermoplastic polyurethane (eTPU). The application was granted after 2 office actions.

- Objections in Office Actions
   Claim 1 is objected as lacking inventiveness in view of combination of
   two reference documents (D1 and D2). D1 discloses foams produced
   from eTPU particles, and D2 discloses a method for fabricating a
   vacuum insulation material core from polyurethane (PU).
- Amendment to claim 1
   To remove the above objection, a key technical feature is incorporated into claim 1 with the explanation that the moulding of PU in D2 was markedly different from the process of fusing eTPU particles to form a product.
- Flow charts to illustrate the processing steps for examiner to understand the technology

  The Examiner failed to realize the difference and insisted the objections. We assumed that the Examiner might have difficulty in apprehending the relevant technology and thus prepared some flow charts to clearly illustrate the processing steps of the subject invention and those of the reference documents. As a result, the examiner accepted our arguments in support of inventiveness of the amended claim 1

### CASE 3: In-depth Analysis of Working Principle to Rebut the Selected Closest Prior Art

This application involves the retrospective method of internet business operation. The method includes four steps: collecting behavior data and response data, generating instruction files and data source files, respectively simulating and reproducing the real situation of the frontend and server-side when the user operates at that time, and recording video while simulating and reproducing.

- Objection against inventiveness
   I) In the 1st Office Action, all the claims were deemed as obvious over two prior art documents (D1 and D2). Focusing on the working principle of the claimed invention, we explained in detail that D1 could not be the closest prior art and D2 disclosed substantially the same process as D1. After our submission of the response without any claim amendment, the Examiner accepted our arguments and did not question inventiveness in the 2nd Office Action.
- Objection on claim support
   The Examiner further pointed out that the claims are not supported
   by the description. In handling the 2nd Office Action, we discussed
   our proposed claim amendment with the Examiner via telephone
   and obtained positive result. This application was then granted with a
   reasonable scope of claims.

### CASE 4: Selection of Solid Evidence of Papers in Support of Inventiveness

This application is sought to protect an in-vitro Diagnostic reagent, which is derived from a known biomarker miR-222 in the preparation of saliva sample detection reagent for diagnosis of liver tumour. What contributes over prior art is that it is firstly proved that this biomarker can be detected in saliva sample and the related amount in saliva sample correlates with liver tumours.

- Decision of Rejection
   The application was rejected for being not inventive in view of combination of three prior art documents (D1, D2, and D3). D1 disclosed that miR-222 is a biomarker for liver tumour and also that miRNAs could be packaged into exosome and secreted to the outside of the cell, D2 disclosed that signal molecular in the exosome such as miRNA could be biomarker used in invasive detection, and further D3 disclosed that miRNA could be stable in saliva.
- Our arguments in Reexamination proceeding
   We organized strong arguments based on solid evidence including
   7 papers in the art to prove the non-obviousness of the invention, by
   leveraging our comprehensive understanding of the technology and
   profound insight into the issue. Our argument successfully convinced
   the Panel of reexamination and the Decision of Rejection was revoked.
   It sheds a light on the patentability of such inventions by taking into
   account the comprehensive mechanism underlying those prior arts
   which seem to be simply combined and deduced.

### CASE 5: Teaching Away in the Closest Prior Art Against Rejection on Inventiveness

This application relates to a coating composition, with the feature of the amount ratio of the two components therein. The application was granted after request for reexamination.

- Decision of Rejection
   The Examiner issued a Decision of Rejection to the application after substantive examination, asserting that the composition of the claims was known and the distinguishing feature of the amount ratio is easy to apply the provide the conventional experiments in view of the classes.
- was known and the distinguishing feature of the amount ratio is easy to achieve through conventional experiments in view of the closest prior art D1, Thus it cannot contribute to the inventiveness of the claims.

  Our arguments in Reexamination proceeding
- Our arguments in Reexamination proceeding By our in-deps analysis, we believe that D1 actually teaches a doseeffect relationship contrary to this patent application. Specifically, its composition exhibits poor corrosion resistance if amount ratios fall within the scope of the claimed invention, while the corrosion resistance will improve remarkably if amount ratios fall outside the said scope. The dose-effect relationship taught by D1 would prevent those skilled in the art from adopting the specific ratio of the claimed composition, thus there would be no motivation to modify the composition of D1 to realize the goal of this application. Though the Examiner in charge of this case insisted on the rejection during interlocutory examination, the Panel of reexamination afterwards accepted our arguments and reversed the Decision of Rejection.

## CASE 6: Amendment to the Claims Based on EPO Examination of the Counterpart Patent

The subject patent application relates to a cutting insert having a specific structure. The technical solution of the claimed invention brings particularly high stiffness and the associated precision for the milling operation.

- Objections in Office Actions
   The set of claims was objected in the 1st Office Action on the grounds of lacking inventiveness in view of two prior art documents, and the Examiner insisted his objection in the 2nd Office Action by introducing a new reference document
- Amendment to the claims
   By discussing the technical solution with the applicant, the claim
   amendment was made in line with the parallel EPO Examination.
   In response to OA2, we remained the said claim set and submitted
   strong arguments against the objection on inventiveness by
   comparing in detail the structures of the cutting insert with those
   disclosed in the cited three prior art documents. The examiner finally
   agreed with us and granted the application.
   In the recent practice, the Chinese examiners tend to be willing to
   consider the EPO examination results as reference.

## CASE 7: Challenges from A Lot Of Cited Prior Art During Granting Procedure

This application relates to a printing assembly and a method for printing on a flexible substrate. During prosecution, the Examiner cited a total of seven prior art documents to assess its inventiveness, which is not common in the substantive examination procedure. By making great effort, this application has been granted with a reasonable scope of claims

- Objection against inventiveness in the 1<sup>st</sup> Office Actions
   All the claims were objected as lacking inventiveness over three prior
   art documents (D1 to D3) and common knowledge. We disagreed with
   the Examiner's opinion and submitted strong arguments that none
   of D1 to D3 gave any suggestions on the distinguishing feature or the
   technical problem solved by the claimed invention. The Examiner
   seemed to have accepted our arguments and issued 2<sup>nd</sup> Office Action
   only including formal issues of the claims.
- Supplementary search in the 3<sup>rd</sup> Office Action However, the examiner cited another four prior art documents (D4-D7) after a further search to retain objection against the inventiveness of the claims. In this situation, we initiated a phone call with the Examiner and elaborated the claim amendment while explaining the key of the invention. Then we submitted the corresponding response in written and the application was granted.

## CASE 8: Use of Post-filing Experimental Dada & Divisional application

This divisional application relates to a pharmaceutical compound used for the prophylaxis or treatment of diseases associated with GPR40, which is fatty acid receptor expressed in pancreatic beta cells that largely mediates fatty acid amplification of glucose-induced insulin secretion.

- Objections in Office Action
  - Claim 1 is a Markush claim comprising many pharmaceutical compounds. Examiner cited two reference documents which disclosed the compounds with identical bone structures to challenge its novelty and inventiveness.
  - 2) Claim 1 cannot be supported by the description because only small portion of the claimed pharmaceutical compounds demonstrated their therapeutic activity.
- Submission of supplementary experimental data in response to Office Action
  - Based on the fact that the substitute group R1 of the pharmaceutical compounds is different and non-obvious in view of the reference documents, we submitted supplementary data for the claimed compounds showing their therapeutic activity. The Examiner accepted the data for establishment of inventiveness, and a set of amended claims was allowed in 2021, including more than 100 specific compounds in the dependent claim.

### CASE 8 # continued

- Strategy of divisional application
   During prosecuting the parent application of this divisional patent,
   we submitted some experimental data in 2017 in response to the
   objections raised by Examiner. But the data were not be accepted
   due to the related strict requirements at that time. Thus, the parent
   application was granted with the narrowed definition of R1 based on
   those compounds having the data of their therapeutic activity in the
   description. We suggested our client to file a divisional application for
   further prosecution.
- Close attention to the changes of prosecution practice
   With the revision of Patent Examination Guidelines effective as from
   April 1, 2017, it requires examiners to review post-filing experimental
   data used for the purpose of proving sufficient disclosure of the
   claimed inventions. Further progress from January 15, 2021 makes it
   possible to provide post-filing data in support of inventiveness.
   Though the acceptance of post-filing data tends to be loosened some,
   it still requires that the technical effect demonstrated in such data
   would be obtainable by those skilled in the art from the contents
   disclosed in the original description.

### 3. Patent Applications in Hong Kong



### Wanhuida Hong Kong Office:

Room1903, Central Plaza, 18 Harbour Road, Wan Chai, Hong Kong  We represent our clients for filing Hong Kong (HK) applications. Our team in Guangzhou Office and HK office are experienced in HK patent registration.

### HK standard patents

- A HK standard patent can be based on a designated patent application, such as a Chinese invention application, an EP application designating UK, or an UK application.
- No substantive examination is required for a HK standard patent.
- Only two stages of registration are needed, one is a 1st stage registration after the publication of the designated patent application, and another is a 2nd stage registration after the grant and issue of the same.

### HK short-term patents and original grant patents

 Except for HK standard patents, there are also short-term patents and original grant patents available.

# 4. Patent Applications in Macao and Taiwan

 We cooperate with the associates in Macao and Taiwan in assisting our clients filing patent applications and have them granted smoothly and effectively.

### Macao extension applications

- A Macao extension application is based on a Chinese invention patent.
- No substantive examination is required for a Macao standard patent.
- Only a registration after the grant and issue of the corresponding Chinese patent is needed.

### Filing patent applications in Macao

 In addition to Macao extension applications, applicants can file applications directly in Macao and priorities may be claimed from applications in WTO member states. There are three types of patents including invention patents, utility models and designs.

### Taiwan applications

• In Taiwan, there are three types of patents including invention patents, utility models and designs. Patent applications in Taiwan may claim priorities from the applications in WTO member states.

# 5. Patent Applications Outside China

 Some of our domestic clients have the needs for protecting their innovations as patents globally or in targeted countries/ regions. For such needs, we cooperate with foreign intellectual property firms or law firms in assisting the clients to obtain overseas patent rights. Over the years, with the help of our foreign associates, our domestic clients have obtained patent protection covering many jurisdictions.



## CHAPTER 4

Resolution
of Patent &
Technology
Related Disputes

## 1. General Information

### **About Right Enforcement**

- Enforcement of patent right has the objectives of stopping the ongoing infringement, recovering damages to compensate for the prejudice caused by the infringing acts, and deterring future infringement. In china, the two main channels for enforcing a patent against its infringement include administrative actions and civil litigations.
- The administrative action is to complain about the patent infringement through administrative authorities, e.g., the local Intellectual Property Offices and customs. On the request of the patentee or an interested party, the China National Intellectual Property Administration (CNIPA) may handle patent infringement disputes of significant impact nationwide. The administrative authorities have the power to make decisions to stop infringement. But damages are not available through such actions and the administrative rulings are appealable to the Court. This

- approach is a feature unique to China and renowned for both its time and economic efficiency.
- The civil litigation is to file complains against infringement before the court. This judicial approach for solving disputes in China have several advantages over other remedies, notably, it allow award of damages, and have a good deterrence effect. Furthermore, it is possible to take pre-trial enforcement measures such as preliminary injunction as well as evidence and property preservation. Normally, two instances of court litigations makes an infringement case final. Concerning damages, as of June 1, 2021, statutory amount has increased up to RMB 5 million, and the Law has allowed a court to grant punitive damages of 1-5 times the actual losses suffered by the patentee, or the proceeds gained by the infringer or multiples of the patent royalties, due to willful infringement.
- In addition to the above two main channels for the right enforcement, a trade secret owner may use criminal prosecutions against infringement. In general, the procedure for criminal prosecution follows three phases: raid and investigation by the Public Security Bureau, examination and prosecution by the Procuratorate, and trial and judgment by the court.
- Other channels for resolving patent and technology related disputes may include arbitration or mediation.

### **About Administrative Litigation**

- In China, for the patent reexamination after rejection or the invalidation against a granted patent, the proceeding starts from the CNIPA. The applicant may file a request for reexamination or any party may file request to declare a patent invalid at the CNIPA. After panel examination, the CNIPA issues reexamination decision or invalidation decision. If the interested party is not satisfied with the decision made by the CNIPA, it may file a law suit before Beijing Intellectual Property Court (Beijing IP Court). The decision of Beijing IP Court is appealable to the IP Court of Supreme People's Court ("the SPC"). The decision of the SPC is binding once made.
- Below is a flow chart of court proceedings of administrative litigation.



# 2. Our Practice in Dispute Resolution

- We are at the leading edge of litigation. We have litigated patent, trade secret and other technology disputes in all levels of courts up to China's Supreme People's Courts (SPC). We have experience litigating all over China and we frequently litigate in China's more developed areas, including Beijing. Shanghai, Guangdong, Shenzhen, Jiangsu, and Zhejiang. Our patent ligation service also covers validity and reexamination disputes before the CNIPA and their subsequent administrative litigations. Some of our cases involve parallel litigations in China as well as in other jurisdictions. In addition to enforcement through courts, we have done enforcement through administrative agencies, such as intellectual property offices, SMRs and customs. Our experience also extends to litigating criminal trade secret case and arbitration matters in settling patent and technology disputes.
- Obtaining evidence is the challenging part of litigation. To support our litigation

- service, we have a solid team of investigators and an extensive network of investigation for gathering both physical and electronic evidence. We also work with third party experts, such as technical appraisal and electronic evidence experts to address challenging evidence issues.
- Our counseling work includes advising and representing clients in their transactional work, reviewing, drafting and negotiating licenses, conducting due diligence and investigation, and advising clients on various issues associated with a transaction. Our counseling work also covers legal opinions on freedom-to-operate, infringement, validity and patentability.
- Besides working on client matters, we are also an active participant in China's development of patent and technology laws. Since its creation, the firm has been closely associated with the legislative progress of Chinese IP laws and regulations. It continues to play an active role in the improvement of the Chinese legal and regulatory environment. We are involved in the processes for revising the IP laws, e.g. patent law, and relevant judicial interpretations through submission of our comments to draft laws and organizing platforms for discussion and communicating with authorities responsible for policy development.

# 3. Our Landmark Cases

- Over the years, we have won numerous landmark patent cases for our clients, some of which are selected by courts, CNIPA or agencies as exemplary cases. They include cases selected as "Guiding Cases of SPC on IPR Trial", "SPC Annual Report on IPR Cases", "Top 10 or 50 IPR Cases of the Chinese Courts" (by SPC), or "Top 10 Reexamination and Invalidation Cases of the CNIPA (or previously PRB)". These cases have contributed to patent law development in various subjects.
- We select some of our representative cases reflecting different types and features as well as our strategies in litigation for reference.

#### • Bayer Case (SPC Judgments 2022)

**Highlight:** This case is selected as SPC Top 50 Cases in 2022. The final decision made by the SPC will further restrain the infringement act of "offering to sell"in the medical exhibitions as well as via internet. **Summary:** Representing Bayer in initiating administrative enforcement against two Nanjing companies infringing its "Rivaroxaban" patent. We filed a request in 2019 before the local IP office complaining the accused infringers' behaviors of offering to sell "Rivaroxaban API" and "Rivaroxaban Tablets" and won the administrative case. The respondent appealed before the court of 1st instance against the administrative decision and then the 2nd instance of the Supreme People's Court (SPC) against the lower court's decision. The courts of both instances issued judgments in favor of Bayer. The administrative decision and courts' rulings explained in detail the establishment of offering to sell in different occasions and clarified the conditions for "Bolar Exemption" as defending non-infringement.

 Sanhome Pharma. vs. Huamei Medical technology, et.al (SPC Judgments 2021)

**Highlight:** The cases are selected as SPC Top 50 Cases in 2022. The Supreme People's Court (SPC) rendered the final judgments in the parallel civil and administrative proceedings involving the medical use invention patent of a chiral drug. The cases held public hearings the day before the "World IP Day" (26 April 2021), reflecting the judicial guide of strengthening IPR protection of pharmaceuticals and encouraging innovations in pharmaceutical field.

**Summary:** Representing the patentee "Sanhome Pharmaceutical Co., Ltd." before the SPC, against the petitioners challenging the validity of Sanhome's patents in two administrative proceedings and against the infringers appealing two infringement decisions in favour of Sanhome. The patentee prevailed all cases -- the patents remain valid and the defendant is found infringing. In particular, the decisions shed light on issues, including: 1) the assessment of the technical teaching of prior art, 2) inventiveness of parameters for optical isomers and medical use inventions, 3) the scope of protection of medical use claims, 4) the prior art defence, and 5) the prior use defence.

#### • Staubli vs. a local producer (SPC Judgments 2017-2023)

**Highlight:** Concerning infringement of a large production facility, it may not be feasible to collect evidence through notarization. We succeeded in applying to the court for evidence preservation and on-site inspection. **Summary:** Representing the patentee Staubli against infringement of a local producer. Staubli owns 3 patents of automatic drawing-in machine. After conducting preliminary evidence collection for two users using the infringing product, we filed a lawsuit and applied to the court for evidence preservation and on-site inspection of the involved products. The court determined that the product in question falls within the protection scope of the three patents involved. We also verified the actual sales volume of the product involved based on the tax records retrieved from the tax authorities. In one case, we received compensation of nearly 2 million RMB, while in the other case, we received compensation of 3.15 million RMB in the first instance

 Csquared2 Laser Equipment. vs. Huagong Bluesky Intelligence Technology (Court Judgment 2021)

**Highlight:** The case is selected as a typical case of Foshan IP Protection Center. Guangzhou IP Court and CNIPA coordinated in hearing the invalidation and infringement cases on the same day. In normal procedure, IP Court and CNIPA are two independent procedures for handling infringement and validity issues.

**Summary:** Successfully representing the petitioner for invalidation and the defendant (Csquared2 Laser Equipment) in an alleged patent infringement suit involving a laser equipment in Guangdong. Csquared2 was sued for patent infringement by a competitor before Guangzhou IP Court and then filed an invalidation case against the asserted patent. Guangzhou IP Court and CNIPA coordinated in hearing the invalidation and infringement cases on the same day. The judge of the infringement case attended the invalidation hearing as observer. The patent was declared invalid immediately at the end of the invalidation hearing and in the afternoon the judge of the infringement case dismiss all claim of the patentee.

# Micro-Tech (Nanjing) vs. Pengtian (Court Judgment 2021)

**Highlight:** This case is listed as "Top Ten IP Cases of Zhejiang Courts" by Zhejiang High Court in 2021.

**Summary:** Micro-Tech (Nanjing) is mainly engaged in R&D, manufacturing and sale of minimally invasive medical devices. In July 2020, Shanghai Customs discovered 200 disposable hemostatic clips exported by Pengtian, which were suspected of infringing Micro-Tech's patent of "a kind of hemostatic clip". We represented Micro-Tech take actions before Shanghai Customs, and the products were seized by the Customs. We docketed the case at the Ningbo Intermediate Court and promptly submitted application, requesting the court to take

preservation measures. The court then took samples from the seized products for analysis and found them infringing the patent. The court ordered the defendant to stop infringements of manufacturing, selling and offering for sale the infringing products, destroy the infringement products and award damages for Micro-Tech (Nanjing).

# MEMsensing Microsystems Case (Suzhou) Co., Ltd (Court Judgment 2021)

**Highlight:** A series of patent invalidation and litigation cases. Our team worked closely with the client to ensure the good winning rate of the cases.

**Summary:** We represented MEMSensing Microsystems (Suzhou) Co., Ltd. in a series of patent invalidation and litigation cases involving semiconductor products. MEMSensing successfully defended a few patent infringement cases by having the patents of a major competitor invalidated and also maintained the validity of many patents of its own challenged by the same competitor. Wanhuida established a well coordinated team for the series of cases which is formed by experienced litigators and patent attorneys.

#### • Techfields Case (SPC Judgment 2021)

**Highlight:** Supreme People's Court (SPC) made judgment that supports the inventiveness of the prodrug patent based on our analysis. **Summary:** Representing Techfields Pharma to defend its aspirin prodrug patent in administrative lawsuits. Techfields obtained a unfavorable Invalidation Decision made by the CNIPA. We have this Decision vacated in the follow up court proceedings, both first and second instances. We accurately discriminated the medical formulation of the claimed invention from the prior art and clarified improvement and significance of the invention, by a thorough analysis of essence of the case. We submitted a lot of evidence in support of our analysis.

#### • SEB vs. VATEN (SPC Judgment 2020)

**Highlight:** This case is selected as the "2020 Excellent Case" of Unifab. **Summary:** The Supreme People's Court (SPC) in the 2nd instance ruled in favor of our client SEB in its suit against VATEN's exporter. The infringing acts involved are concealed and complicated, and the infringing product models of electric fryer are numerous. The court determined that the accused seven different models of products constituted infringement and ordered the infringer to compensate more than 4 million RMB in four related lawsuits, and the customs also destroyed the infringing product seized.

# Bayer vs. Nanjing Chia Tai Tianqing (CNIPA Decision 2020)

**Highlight:** This case is selected as Top 10 Patent Reexamination and Invalidation Cases of CNIPA in 2021.

**Summary:** Defending successfully the validity of Bayer's patents over Rivaroxaban compounds. The petitioner tried to combine numerous references to challenge the inventiveness. The CNIPA upheld the patent's inventiveness and explained proper use of the structureactivity relationship in assessing the inventiveness of pharmaceutical compounds and rejected hindsight when applying the "three-step" or "teaching and motivation to combine" approach for the assessment of inventive steps.

# P & G vs. SeaMild (Court Judgment and CNIPA Decision 2020)

**Highlight:** Procter & Gamble (P&G) , as the defendant, avoided the high damages claimed by the patentee in a infringement litigation.

**Summary:** Representing P&G won both invalidation and infringement cases. P&G was sued for patent infringement in Shanghai IP Court by Se aMild (patentee), which claims damages as high as 100 million RMB. The plaintiff submitted appraisal report as evidence to prove infringement, which involves test on the chemical composition of the accused product.

Against this accusation, we built on non-infringement defense in various aspects, including sufficient evidence to prevent broadening interpretation of the patent scope, and also filed an invalidation request against the patent in suit with solid evidence.

CNIPA invalidated the patent, and Beijing IP Court sustained the invalidation decision. Then, Shanghai IP Court ruled to reject the patentee's complain.

## Bayer vs. Acebright (Administrative Decision of Shanghai IP Office 2019)

**Highlight:** This case is selected as CNIPA's Top 10 Exemplary Patent Administrative Enforcement Cases in 2019.

**Summary:** Representing Bayer successfully in administrative enforcement of its patent related to the anti-tumor compound sorafenib. This case clarifies that the "offering to sell" intended for non-specific potential customers is not covered by research exemption and Bolar exemption. The Shanghai IPO granted Bayer's petition and ordered cessation of the infringement.

#### • SEB vs. PRB (SPC judgment 2018)

**Highlight:** Successfully defending the validity of SEB's high value patent **Summary:** Representing SEB, the world's leading home appliance, in defending invalidation request against its "paddle patent" for the Ac

tifry dry fryer in China. The Supreme People's Court (SPC) supported SEB's arguments that in the assessment of inventive steps the technical features in the claims should be considered as a whole rather than in isolation. The invalidation decision made by the Patent Reexamination Board (PRB) was reversed by SPC. Given the fact that the dry fryer has excellent sales worldwide, and China was the source of infringing products, maintaining the validity of this core patent in China is essential in thwarting infringement.

#### • SEB vs. Ningbo Changli Co. (Court Judgment in 2018)

**Highlight:** This case focused on construing functional feature of the claims in infringement dispute and filled in a blank point in the provisions on the issue of functional feature.

**Summary:** Representing the patentee SEB at the 2nd instance of Zhejiang Higher Court in a patent infringement lawsuit. We successfully argued on the exception to functional feature in the claimed ironing appliance so that its defined feature of the claims should not be limited to the examples in the patent description and its equivalent. The court supported the opinion of the patentee and find the defendant infringing the patent.

#### • IKEA Case (PRB Decision 2017, Court Judgment in 2017)

**Highlight:** Strategy for the Infringement law suit and the Invalidation Proceeding.

**Summary:** Representing IKEA in responding to a patent infringement lawsuit filed by a Taiwanese patentee regarding the "lantern" patent. Our strategy includes:

- By way of the proceedings of jurisdiction objection and appeals of the infringement lawsuit to have gained time for the preparation of invalidation requests against the "lantern" patent.
- In the invalidation procedure, the "lantern" patent was successfully invalidated by using the Estoppel principle. The patentee expanded the interpretation of the claims in the infringement lawsuit in order to cover the feature of IKEA's product. Such a broadened scope of the patented claims is not inventive as compare with the prior art.
- The Guangzhou Intellectual Property Court subsequently ruled to dismiss the plaintiff's claims of infringement.

#### • SEB vs. YODA (SPC Judgment in 2014)

**Highlight:** This case was selected as SPC Top 50 Exemplary IPR Cases in 2014.

**Summary:** Representing SEB in defeating a patent infringer in two instances of court proceedings, obtaining court injunction and damages. The case was selected as 50 Exemplary IPR Cases of the Supreme

People's Court (SPC) for its clarification on how to define the protection scope of functional claims.

## Corun Energy vs. Alantum Technology (SPC Judgment in 2013)

**Highlight:** This case was selected as SPC Top 10 IPR Cases of 2013. **Summary:** Representing Corun Energy against Alantum Technology in a patent infringement retrial case involving a nickel processing product before the Supreme People's Court. The case was selected as SPC's Top 10 IPR Cases of 2013 for its significance in applying the doctrine of equivalents.

#### Dahe Biotechnology Case (SPC Judgment in 2013)

**Highlight:** This case was selected as Top 10 Cases of the Patent Reexamination Board of 2013.

**Summary:** Representing Dahe Biotechnology in the retrial before the SPC, upholding the decision invalidating the patent on the production of Lysine. The case is instructive for interpreting microorganism claims defined by function. After affirmation by the Court, the original Patent Reexamination Board (PRB) decision was selected as Top Ten Typical Cases of the PRB of 2013.

 Zhengdong Taisheng Pharm., et. al vs. Hu Xiaoquan (SPC Judgment in 2012)

**Highlight:** The case is selected by SPC Annual Report on IPR Case (2012). **Summary:** Representing Zhengdong Taisheng Pharmaceutical Co. Ltd and Telier Marketing Planning Co., Ltd. Medicine Branch against Hu Xiaoquan in a patent infringement retrial case involving adenosine triphosphate disodium magnesium chloride freeze-dried powder for injection and its production process. The case was selected by the Annual Report of the SPC on Intellectual Property Cases for its significance in construing the "close-ended" claim of using the wording "consisting of".

 Simcere vs. Patent Reexamination Board and Li Ping (SPC Judgment in 2011)

**Highlight:** This case is selected in SPC Annual Report on IPR Cases (2011) . **Summary:** Defending successfully the validity of Simcere patent on a pharmaceutical formulation comprising Amlodipine and Irbesartan in the re-adjudication proceeding before the Supreme People's Court (SPC). The case was selected in the SPC's Annual Report on IPR Cases (2011) for its significance in applying the fairness and reasonableness principles in interpreting the Guidelines for Patent Examination and in determining whether a new matter has been introduced by amendment.

## Guangzhou New GEP vs. Taishan Xianqv (SPC Judgment in 2010)

**Highlight:** The case is selected as SPC Annual Report on IPR Case (2010). **Summary:** We represented Guangzhou New GEP in a re-adjudication proceeding for a patent infringement suit and won the case. The Supreme People's Court (SPC) supported the way we interpreted the interrelationship of the ingredients in the claimed composition. In the situation that a different understanding of the content in the claims resulted in disputes over the protection scope of the patent, the description and the accompanying drawing can be used to interpret the claims.

## Criminal case of trade secret infringement (Court Judgment in 2022)

**Highlight:** Criminal liabilities of the defendant. This case is selected as Hangzhou Procuratorate Exemplary Cases in 2023.

**Summary:** Representing a local company in Zhejiang, the trade secret owner, in the criminal prosecution proceeding against an employee seeking to misappropriate and patent the said trade secret. The defendant was sentenced to 4-year imprisonment and fined RMB 800,000. The courts affirmed that even if each constituent technique of the trade secret has been disclosed, the said trade secret shall be deemed as unknown to the public in its entirety, provided that the combination of the technique has yet been made public.

## Punitive damages in dispute of trade secretes (Court Judgment in 2021)

**Highlight:** The court awards Soundking punitive damages in trade secrets civil suit

**Summary:** Representing trade secret owner Soundking in securing injunction, damages of RMB 3.6572 million and reasonable expenses of RMB 220,000 from four former employees and their associated company, which were engaged in misappropriation of Soundking's trade secrets. In August 2019, Soundking was alerted by its clients that Huizhou Hui Te Electronic Technology Ltd. (Hui Te) was selling similar digital sound mixers at a much lower price. It was then identified the core technology of the mixers being identical with Soundking's trade secrets. Further investigation revealed that Hui Te was controlled by a former employee who was the head of Soundking's R&D department.

Soundking initiated a civil litigation against Hui Te, et.al before the Ningbo Intermediate Court. The court therefore ordered injunction and affirmed that punitive damages should apply by taking into account the malice and the severity of the infringement and set the multiple at quadruple of Sounding's economic losses.

## Settlement on infringement dispute of OLED panel (2021)

**Highlight:** Representing the owner of a patent featuring internal operations in circuit, we did diverse efforts to produce a preponderance of evidence for proving the accused display panel embodying the internal operations covered by the patent. Based on strategic considerations, we prompt the defendants to settle the dispute with our client after filling the complains against the infringement before the court.

**Summary:** Entrusted by the patentee, we enforce the patented claim of which limits several operations inside the driver circuit of an OLED panel. Since it is difficult to explicitly demonstrate that the accused OLED panel adopts the patent operations inside its driver circuit, for deducing its infringement, we collected and produced diverse evidence corroborated with each other before the court, including the patent function promoted in the manual of defendant's product, the analysis of the disassembled circuit of the panel, as well as the simulation result to the panel by inputting some specific test signals, etc. This infringement dispute was settled successfully out of court.

#### • Patent Ownership Dispute (2019)

**Highlight:** According to the Patent Law, for any inventions made by the employees in duty, the patent right and the right for apply a patent of such invention shall belong to the employer.

**Summary:** Representing a well-known Japanese company to obtain back the ownership of a high-value patent.

Through investigation, a key staff member who had previously worked in a US laboratory for a well-known Japanese enterprise was found at a university in Shanghai. Through multiple rounds of negotiations and coordination, the staff member was able to acknowledge that a high-value patent submitted by him in the United States was actually employee's invention during his work in the Japanese company's US laboratory, and successfully arranged for the patent transfer procedures.



Beijing · Shanghai · Guangzhou · Shenzhen · Chongqing · Tianjin Ningbo · Suzhou · Hangzhou · Hong Kong · Napa, USA

Add : Yiyuan Office Building, Friendship Hotel, 1 Zhongguancun Street South, Haidian District, Beijing 100873

Tel: 86-10-6892 1000 Fax: 86-10-6894 8030 E-mail: whd@wanhuida.com





